

## LAW OFFICE OF HARRY J. MACEY

RECEIVED  
CENTRAL FAX CENTER

NOV 09 2004

## FACSIMILE TRANSMITTAL SHEET

TO:	Group Art Unit 3679	FROM:	Harry J. Macey
COMPANY:	USPTO	DATE:	11/09/04
FAX NUMBER:	703-872-9306	TOTAL NO. OF PAGES INCLUDING COVER:	22
PHONE NUMBER:		SENDER'S REFERENCE NUMBER:	PEG-2001CP1
RE:	Amendment for USSN 09/753,122	YOUR REFERENCE NUMBER:	

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

This facsimile contains confidential information, which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Harry Macey immediately by telephone and return it promptly by mail. Thank you.

1301 SHOREWAY ROAD, SUITE 121  
BELMONT, CA 94002-4106  
TEL 650.654.9555/FAX 650.654.9554

NOV 09 2004

PATENT  
Attorney Docket No.: PEG-2001CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF TRANSMISSION BY "FACSIMILE"

I hereby certify that this correspondence and the accompanying documents are being facsimile transmitted to the United States Patent and Trademark Office, facsimile number (703) 872-9306 on November 9, 2004.

  
Harry Macey

In re Application of:

Proctor, et al.

Serial No.: 09/753,122

Filing Date: December 29, 2000

Title: DUCT JOINING SYSTEM

Examiner: A. Dunwoody

Group Art Unit: 3679

TRANSMITTAL

Commissioner for Patents  
Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

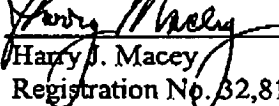
1. Fee Transmittal (1 pg) in duplicate
2. Amendment (17 pgs)

[X] Authorization to charge the \$151.00 fee for the additional claims to Deposit Account No. 50-1947 is provided on the Fee Transmittal. A duplicate copy of that document is enclosed for that purpose.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. PEG-2001CP1.

Respectfully submitted,

Date: November 9, 2004

By:   
Harry J. Macey  
Registration No. 32,818

LAW OFFICE OF HARRY J. MACEY  
1301 Shoreway Road, Suite 121  
Belmont, CA 94002-4106  
Telephone: 650.654.9555  
Facsimile: 650.654.9554

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$151.00)

**Complete if Known**

Application Number	09/753,122
Filing Date	December 29, 2000
First Named Inventor	John P. Proctor
Examiner Name	A. Dunwoody
Art Unit	3679
Attorney Docket No.	PEG-2001CP1

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account

Deposit Account Number	50-1947
Deposit Account Name	LAW OFFICE OF HARRY J. MACEY

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1001 790	2001 395	Utility filing fee
1002 350	2002 175	Design filing fee
1003 550	2003 275	Plant filing fee
1004 790	2004 395	Reissue filing fee
1005 180	2005 80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$) 0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
44	37** = 7	x 9	= 83.00
Indep. Claims	9	7** = 2	x 44 = 88.00
Multiple Dependent			=

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$151.00

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1051 130	2051 65	Surcharge - late filing fee or oath
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet
1053 130	2053 130	Non-English specification
1812 2,520	2812 2,520	For filing a request for ex parte reexamination
1804 920*	2804 920*	Requesting publication of SIR prior to Examination action
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action
1251 110	2251 55	Extension for reply within first month
1252 430	2252 215	Extension for reply within second month
1253 880	2253 490	Extension for reply within third month
1254 1,530	2254 785	Extension for reply within fourth month
1255 2,080	2255 1,040	Extension for reply within fifth month
1401 340	2401 170	Notice of Appeal
1402 340	2402 170	Filing a brief in support of an appeal
1403 300	2403 150	Request for oral hearing
1451 1,510	2451 1,510	Petition to institute a public use proceeding
1452 110	2452 55	Petition to revive - unavoidable
1453 1,370	2453 685	Petition to revive - unintentional
1501 1,370	2501 685	Utility issue fee (or reissue)
1502 490	2502 245	Design issue fee
1503 660	2503 330	Plant issue fee
1406 130	2406 130	Petitions to the Commissioner
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)
1806 180	2806 180	Submission of Information Disclosure Stmt
8021 40	28021 40	Recording each patent assignment per property (times number of properties)
1809 780	2809 395	Filing a submission after final rejection (37 CFR § 1.129(a))
1810 790	2810 395	For each additional invention to be examined (37 CFR § 1.129(b))
1801 790	2801 395	Request for Continued Examination (RCE)
1802 900	2802 900	Request for expedited examination of a design application

Fee Paid

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

**SUBMITTED BY**

Name (Print/Type)	Harry J. Macey	Registration No. (Attorney/Agent)	32,818	Telephone	850-654-8566
Signature		Date	November 9, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**COPY**

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$151.00)

**Complete if Known**

Application Number 09/753,122  
 Filing Date December 29, 2000  
 First Named Inventor John P. Proctor  
 Examiner Name A. Dunwoody  
 Art Unit 3879  
 Attorney Docket No. PEG-2001CP1

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number 50-1947  
 Deposit Account Name LAW OFFICE OF HARRY J. MACEY

The Director is authorized to: (check all that apply):

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
1001	780	2001	385	Utility filing fee
1002	350	2002	175	Design filing fee
1003	550	2003	275	Plant filing fee
1004	780	2004	385	Reissue filing fee
1005	180	2005	80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$) 0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
44	37** = 7	x 9	= 63.00
Indep. Claims	9	-7** = 2	x 44 = 88.00

Multiple Dependent

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$151.00

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65

Fee Description

Fee Paid

1052	50	2052	25	Surcharge - late filing fee or oath	
1053	130	2053	130	Surcharge - late provisional filing fee or cover sheet	
1812	2,520	1812	2,520	Non-English specification	
1804	920*	1804	920*	For filing a request for ex parte reexamination	
1805	1,840*	1805	1,840*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	940	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1408	130	2480	130	Petitions to the Commissioner	
1807	50	2807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	2806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	2802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

**SUBMITTED BY**

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
Harry J. Macey	32,816	650-654-9555	November 9, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.